



SECTOR \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: San-qi Li, et al. ) Docket No.: 5019.4-1  
Serial No.: 09/626,400 ) Examiner: TBD  
Filed: July 26, 2000 ) Art Unit: 2745  
For: METHOD OF ACTIVE DYNAMIC )  
RESOURCE ASSIGNMENT IN A )  
TELECOMMUNICATIONS NETWORK )

**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
**OF NONPROVISIONAL APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231  
Attention: Box Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed September 11, 2000, Applicants submit herewith:

1. Return copy of the Notice to File Missing Parts of Nonprovisional Application;
2. Executed Statement Claiming Small Entity Status;
3. Check in the amount of \$65.00 for the payment of the surcharge as small entity;
4. Return Receipt Postcard; and
5. This transmittal submitted in duplicate.

The Commissioner is hereby authorized to credit any overpayments or charge additional fees to Deposit Account 13-4900.

Respectfully submitted,

  
Wei Wei Leang  
Reg. No. 33,305

Date: 10/2/2000  
MUNSCH HARDT KOPF & HARR, P.C.  
4000 Fountain Place  
1445 Ross Avenue  
Dallas, Texas 75202-2790  
Telephone: 214-855-7510  
Facsimile: 214-855-7584

R:\5019\4\PTO response to missing parts.doc

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commission for Patents, Washington, DC 20231, Attention: Box Missing Parts on the date shown below.

Yvonne R. Colum  
Name

10-2-00  
Date

# FILE COPY

D.J.  
#5 12-15-00  
TICKET  
CFX

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: San-qi Li, et al. ) Docket No.: 5019.4-1  
Serial No.: 09/626,400 ) Examiner: TBD  
Filed: July 26, 2000 ) Art Unit: 2745  
For: METHOD OF ACTIVE DYNAMIC )  
RESOURCE ASSIGNMENT IN A )  
TELECOMMUNICATIONS NETWORK )

### REQUEST TO CORRECT FILING RECEIPT

Commissioner for Patents  
Washington, D.C. 20231  
Attention: Office of Initial Patent  
Examination's Customer Service Center

Dear Sir:

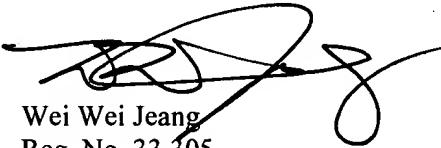
Attached is a copy of the official filing receipt received from the U.S. Patent and Trademark Office in the above-identified patent application in which issuance of a corrected filing receipt is respectfully requested.

The word "telecommunications" in the title of the invention is misspelled. Please change the title as follows:

METHOD OF ACTIVE DYNAMIC RESOURCE ASSIGNMENT  
IN A TELECOMMUNICATIONS NETWORK

The correction is not due to any error by applicants and therefore no fee is due.

Respectfully submitted,

  
Wei Wei Jeang  
Reg. No. 33,305

Date: 10/21/2000  
MUNSCH HARDT KOPF & HARR, P.C.  
4000 Fountain Place  
1445 Ross Avenue  
Dallas, Texas 75202-2790  
Telephone: 214-855-7510  
Facsimile: 214-855-7584

R:\5019\4\PTO request to correct filing receipt.doc

#### Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commission for Patents, Washington, DC 20231, Attention: Office of Initial Patent Examination's Customer Service Center on the date shown below.

Tilli R. Colvin  
Name

10-2-00  
Date



**COPY**

UNITED STATES PATENT AND TRADEMARK OFFICE

OCT 06 2000

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRANT UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/626,400	07/26/2000	2745	489	5019.4	7	36	3

23559  
MARC A HUBBARD  
MUNSCH HARDT KOPF & HARR PC  
4000 FOUNTAIN PLACE  
1445 ROSS AVENUE  
DALLAS, TX 75202

**RECEIVED**

SEP 14 2000

M H K H & D

**FILING RECEIPT**



\*OC00000005388933\*

Date Mailed: 09/11/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Na Li, Austin, TX ;  
San-qi Li, Plano, TX ;

**Continuing Data as Claimed by Applicant**

**Foreign Applications**

If Required, Foreign Filing License Granted 09/09/2000

**Title**

Method of active dynamic resource assignment in a telecommunication network

**Preliminary Class**

435

**COPY**  
RECEIVED  
OCT 27 2000  
1C 2700 MAIL ROOM

Data entry by : WILLIAMS, EVERETT

Team : OIPE

Date: 09/11/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

OCT 06 2000

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- o The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- o The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- o The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- o The docket number allows a maximum of 25 characters.
- o If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- o The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

RECEIVED  
OCT 27 2000  
TC 2700 MAIL ROOM  
9/9/00



#3

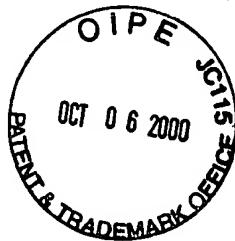


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/626,400	07/26/2000	Na Li	5019.4

23559  
 MARC A HUBBARD  
 MUNSCH HARDT KOPF & HARR PC  
 4000 FOUNTAIN PLACE  
 1445 ROSS AVENUE  
 DALLAS, TX 75202



## FORMALITIES LETTER



\*OC00000005388934\*

Date Mailed: 09/11/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is unsigned.
- The balance due by applicant is \$ 619.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/12/2000 SDENB0B1 00000062 09626400

65.00 OP

01 FC:205

**FILE COPY****UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)



Bib Data Sheet

2682

SERIAL NUMBER 09/626,400	FILING DATE 07/26/2000 RULE -	CLASS 435	GROUP ART UNIT 2745	ATTORNEY DOCKET NO. 5019.4
-----------------------------	-------------------------------------	--------------	------------------------	-------------------------------

**APPLICANTS**

Na Li, Austin, TX ;  
 San-qi Li, Plano, TX ;  
*NM*

**\*\* CONTINUING DATA \*\*\*\*\*****\*\* FOREIGN APPLICATIONS \*\*\*\*\****NM*

**IF REQUIRED, FOREIGN FILING LICENSE**  
**GRANTED \*\* 09/09/2000**

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY TX	SHEETS DRAWING 7	TOTAL CLAIMS 36	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after <i>Allowance</i> <i>NM</i>				
Verified and Acknowledged	<i>NM</i>	Examiner's Signature	Initials		

**ADDRESS**

23559

**TITLE**

Method of active dynamic resource assignment in a telecommunications network

<b>FILING FEE RECEIVED</b> 554	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
-----------------------------------	---	---